

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>In re application of:</b>	<b>:</b>	<b>Group Art Unit:</b>
<b>Kulkarni, et. al.</b>	<b>:</b>	<b>Intellectual Property Law Department</b>
<b>Serial No.:</b>	<b>:</b>	<b>International Business</b>
<b>Filed:</b>	<b>:</b>	<b>Machines Corporation</b>
<b>Title: System and Method for Rule-Pen</b>	<b>:</b>	<b>Software Group IP Law -- T81/503</b>
<b>Based Conversations in Synchronous</b>	<b>:</b>	<b>3039 Cornwallis Road</b>
<b>Collaborative Environment</b>	<b>:</b>	<b>Research Triangle Park, NC 27709</b>

**INFORMATION DISCLOSURE STATEMENT**

The Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In addition, a previously filed U.S. Patent Application No. 10/732,109, entitled "System and Method for Role Pen Based Messaging in a Synchronous Collaborative Environment," filed December 10, 2003 may also be "material" pursuant to 37 CFR § 1.56. The above-referenced application has the same inventors and assignee and has an attorney docket number of RSW920030222US1. While the above-referenced application may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that it is a "related" application to the presently filed invention unless specifically designated as such.


In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

PATENT

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

By   
Joseph T. Van Leeuwen  
Attorney for Applicant  
Registration No. 44,383  
Telephone: (512) 301-6738  
Facsimile: (512) 301-6742

In Place of FORM PTO-1449 (Modified)

Serial No. \_\_\_\_\_

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

Applicant: Kulkarni, et. al.

Filing Date: \_\_\_\_\_

Group: \_\_\_\_\_

Atty. Docket No. RSW920030302US1

**U. S. PATENT DOCUMENTS**

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Subclass</u>	<u>Filing Date if Appropriate</u>
_____	AA 2002/0056577	May 16, 2002	Kaye et al.	178	18.03	
_____	AB 5,495,269	Feb 27, 1996	Elrod et al.	345	179	
_____	AC 6,128,014	Oct 3, 2000	Nakagawa et al.	345	341	
_____	AD 10/732,109	Dec. 10, 2003	Kulkarni et al.	N/A	N/A	Dec. 10, 2003
_____	AE					
_____	AF					
_____	AG					
_____	AH					
_____	AI					
_____	AJ					
_____	AK					

**FOREIGN PATENT DOCUMENTS**

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Subclass</u>	<u>Translation Yes No</u>
_____	AL WO 02/39308	May 16, 2002	US	G06F	15/173	Yes
_____	AM					

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

<u>Examiner Initial</u>	
_____	AN
_____	AO
_____	AP
_____	AQ
_____	AR

Examiner: \_\_\_\_\_

Date Considered: \_\_\_\_\_

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.